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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/348,652	07/06/1999	JAMEY GRAHAM	15358-005500	5555

7590 08/03/2005

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SAN FRANCISCO, CA 941113834

EXAMINER
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PAULA, CESAR B

ART UNIT	PAPER NUMBER
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2178

DATE MAILED: 08/03/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

**Interview Summary**

Application No.

09/348,652

Applicant(s)

GRAHAM, JAMEY

Examiner

CESAR B. PAULA

Art Unit

2178

All participants (applicant, applicant's representative, PTO personnel):

(1) CESAR B. PAULA.

(3) \_\_\_\_\_.

(2) SUJIT KOTWAL.

(4) \_\_\_\_\_.

Date of Interview: 01 August 2005.

Type: a) ☒ Telephonic b) ☐ Video Conference

c) ☐ Personal [copy given to: 1) ☐ applicant 2) ☐ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No.

If Yes, brief description: \_\_\_\_\_.

Claim(s) discussed: 1 and 37.

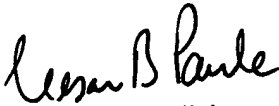
Identification of prior art discussed: WROBLEWSKI, AALBERSBERG.

Agreement with respect to the claims f) ☐ was reached. g) ☒ was not reached. h) ☐ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: DISCUSSED DIFFERENCES BETWEEN THE CLAIMS AND THE PROPOSED AMENDMENT TO THE CLAIMS.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

  
CESAR PAULA  
PRIMARY EXAMINER

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

Examiner's signature, if required

## Summary of Record of Interview Requirements

### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

#### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

##### Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

##### 37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,  
(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

#### Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

**TOWNSEND**  
*and*  
**TOWNSEND**  
*and*  
**CREW**  
LLP

San Francisco, California  
Tel 415 576-0200

Walnut Creek, California  
Tel 925 472-5000

San Diego, California  
Tel 656 350-6100

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379 Lytton Avenue  
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California 94301-1431  
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Fax 650-328-2422

**FACSIMILE COVER SHEET**

Date: <b>July 28, 2005</b>	Client & Matter Number: <b>015358-005500US</b>	No. Pages (including this one): <b>5</b>
To: <b>Examiner Cesar B. Paula Art Unit 2178, USPTO</b>	At Fax Number: <b>1 571 273 4128</b>	Confirmation Phone Number:
From: Sujit B. Kotwal, Reg. No. 43,336		(0333)

**Message:** Re: US Patent Appln. No. 09/348,652  
Filed: 7/6/1999  
Applicant: Jamey Graham

Attached is an Interview Request Form and proposed agenda for  
telephonic interview scheduled for August 1, 2005 at 2:00 pm EST.

Original Will:	BE SENT BY MAIL	BE SENT BY FEDEX/OVERNIGHT COURIER	BE SENT BY MESSENGER	X	NOT BE SENT
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Faxed: Return to: Gloria J. Sikora - (6216)

If you have problems with reception please call Fax Services at extension 5537

**Important**

This message is intended only for the use of the individual or entity to which it is addressed and may contain information that is privileged, confidential, and/or exempt from disclosure by applicable law or court order. If the reader of this message is not the intended recipient, or the employee or agent responsible for delivering the message to the intended recipient, you are hereby notified that any dissemination, distribution, or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and return the original message to us at the above address via the United States Postal Service. Thank you.

60548300 v1

## Applicant Initiated Interview Request Form

Application No.: 09/348,652First Named Applicant: Jamey GrahamExaminer: Cesar B. PaulaArt Unit: 2178Status of Application: Non-final OA dated 5/5/05

## Tentative Participants:

(1) Examiner Paula(2) Sujit B. Kotwal (Reg. No. 43,336)

(3) \_\_\_\_\_

(4) \_\_\_\_\_

Proposed Date of Interview: 8-1-05Proposed Time: 2:00 PM Eastern Standard Time (11:00 AM Pacific Standard Time)

## Type of Interview Requested:

(1) ☒ Telephonic(2) ☐ Personal(3) ☐ Video ConferenceExhibit To Be Shown or Demonstrated: ☐ YES☒ NO

If yes, provide brief description: \_\_\_\_\_

## Issues To Be Discussed

Issues (Rej., Obj., etc.)	Claims Fig.#s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>Rei</u>	<u>1</u>	<u>Ball;</u> <u>Wroblewski</u> <u>('600)</u>	[ ]	[ ]	[ ]
(2) <u>Rei</u>	<u>37</u>	<u>Aalbersberg</u> <u>('678)</u>	[ ]	[ ]	[ ]
(3) _____	_____	_____	[ ]	[ ]	[ ]
(4) _____	_____	_____	[ ]	[ ]	[ ]

[ ] Continuation Sheet Attached

## Brief Description of Arguments to be Presented:

(1) Differences between claim 1 and cited references Ball and Wroblewski(2) Differences between claim 37 and cited reference AalbersbergDiscuss possible claim amendments to clarify differences (see attached interview agenda)

An interview was conducted on the above-identified application on \_\_\_\_\_.

## NOTE

This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

S. B. Kotwal

Applicant/Applicant's Representative Signature

(Examiner/SPE Signature)

Sujit B. Kotwal

Typed/Printed Name of Applicant or Representative

43,336

Registration Number, if applicable

# Memorandum

To: Examiner Cesar B. Paula  
Art Unit: 2178

From: Sujit B. Kotwal  
Reg. No. 43,336

Date: July 28, 2005

Re: **Proposed agenda for telephonic interview re 09/348,652 scheduled for 8/1/2005 at 2:00pm EST (11:00am PST)**

Appl. No: 09/348,652  
Filed: 7/6/1999  
Applicant: Jamey Graham  
Atty Docket No.: 015358-005500US

**Proposed agenda:**

- Discuss the following proposed amendments to claim 1 in order to clarify the differences between the present invention and the cited references Ball and Wroblewski:

1. (Currently Amended) A method of displaying an electronically stored document, said method comprising:

receiving user input identifying a user-specified concept of interest;

analyzing said electronically stored document to identify occurrences of discussion of said user-specified concept of interest in the electronically stored document; and

displaying a visual indicator showing persistence ~~measures~~ values of the user-specified concept of interest at locations within the electronically stored document, the persistence ~~measures~~ values of the user-specified concept of interest at the locations determined based upon a number of the occurrences of discussion of the user-specified concept of interest at the locations,

wherein, for a location within the electronically stored document, the visual indicator displays a persistence ~~measure~~ value of the user-specified concept of interest at

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Art Unit: 2178  
From: Sujit B. Kotwal  
Reg. No. 43,336

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that location relative to persistence ~~measures~~ values of the user-specified concept of interest at other locations in the electronically stored document,

wherein the visual indicator comprises a first axis representing locations within the electronically stored document and a second axis representing persistence ~~measures~~ values of a user-specified concept of interest.

- Discuss the following proposed amendments to claim 37 in order to clarify the differences between the present invention and the cited reference Aalbersberg:

37. (Currently Amended) A method of displaying an electronically stored document, the method comprising:

receiving user input identifying a first concept of interest and a second concept of interest;

analyzing said electronically stored document to identify occurrences of discussion of the first concept of interest and the second concept of interest in the electronically stored document;

determining [[a]] persistence values of the first concept of interest at locations within the electronically stored document based upon the occurrences of discussion of the first concept of interest in the electronically stored document;

determining [[a]] persistence values of the second concept of interest at locations within the electronically stored document based upon the occurrences of discussion of the second concept of interest in the electronically stored document;

determining [[a]] combined persistence values of the first concept of interest and the second concept of interest at locations within the electronically stored document based upon the persistence values of the first concept of interest and the persistence values of the second concept of interest at locations within the electronically stored document; and

To: Examiner Cesar B. Paula  
Art Unit: 2178  
From: Sujit B. Kotwal  
Reg. No. 43,336

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displaying a visual indicator showing the combined persistence values of the first concept of interest and the second concept of interest at locations within the electronically stored document

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